

## Introductions

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Chuck Brandenburg, UWCI Grants  
Sara VanSlambrook, Great Families 2020

## Overview of program, Sara VanSlambrook

Thanked everyone for interest and time today. Great Families 2020 is a five-year initiative to improve family stability for vulnerable children and their parents living in four neighborhoods in Indianapolis. Using the promising practice of the Two-Generation Approach, Great Families 2020 will focus on early childhood education and family economic stability. The idea is to provide opportunities for and meet the needs of parents and their children together. Through an open, competitive RFP process, Great Families 2020 will establish evidence-based, neighborhood support networks that provide integrated services in postsecondary education and workforce development, early childhood education, financial support, and health and well-being to families living in poverty. United Way of Central Indiana was proud to be awarded \$7 million for Great Families 2020 by the federal Social Innovation Fund. Matching funding will be required from the community, leveraging a total investment of \$20.6 million to families in need. The SIF has committed three years of funding with an opportunity to secure two additional years of funding, pending successful program implementation.

## Question and Answer

1. Explain the matching requirements.

*United Way must match federal SIF grant funds dollar for dollar and each subgrantee must match all funds awarded by United Way dollar for dollar.*

2. Can a United Way partner agency's use United Way funds as part of its subgrantee match requirement?

*The portion of your UWCI base allocation that is used toward this initiative can be counted as match. However, the UWCI strategic allocation of CWF funds cannot be counted as match. United Way may identify portions of CWF strategic allocations directed to Great Families 2020 programs as part of its match.*

3. Can United Way capital funds be used as match?

*No. Federal regulations prohibit use of both SIF grant funds and match funds for capital expenditures. Please note that UWCI has capital funds available and prioritized to possibly support the expansion and/or rehabilitation of facilities to support Great Families 2020 subgrantees. If capital funds would be needed, a separate application would need to be submitted for consideration by UWCI's Capital Projects Fund Committee.*

4. Can other federal funds of subgrantees be counted as match?  
*No. Federal regulations prohibit counting other federal funds as match.*
5. Will United Way help subgrantees obtain match funds?  
*Ultimately, the subgrantees are responsible for securing the full match. However, United Way is currently working with local funders to develop a strategy for leveraging local philanthropic funds in a coordinated fashion to help with subgrantee match.*
6. Is an applicant required to have secured 100% of match funds at the time of application?  
*No. Applicants must document that 10% of year one's match is either cash-in-hand or committed.*
7. Does a subgrantee need to be registered with grants.gov?  
*No, but a SAM registration is required. Sometime this can take some time so it is highly recommended that applicants take care of this as soon as possible.*
8. Do applicants need a A133 audit?  
*An A133 audit is not a requirement unless the agency has more than \$750,000 in federal funds. Audited financials are strongly encouraged for each applicant. If this is not available, unaudited financials should be submitted along with the Form 990.*
9. Will all of the subgrantees need ETO licenses?  
*No, but they have to have some sort of data collection system that allows for files to be pulled in an easily accessible format (ie. Excel).*
10. Clarify enrollment requirements.  
*100-175 young children and 100-175 adults is the annual enrollment requirement. Children and adults will be enrolled as family units. Recognizing that adults and children in families may not begin participating at the same time, subgrantees will have 60 days to engage both the child and adult in each family.*
11. Are school districts eligible to be subgrantees?  
*No. Subgrantees must be 501(c)(3) organizations*
12. Can contractors (e.g., for ECE and FES services) be secured during the application development period?  
*No. Subgrantees are required to follow applicable federal procurement requirements to select contractors after funds have been awarded. Subgrantees can identify potential contractors in their applications and should include estimated contract costs in their budgets.*
13. Will there be training and technical assistance provided re: procurement and other compliance regulations?  
*Yes, United Way will provide training and assistance regarding compliance after the selection of subgrantees.*

14. What is the difference between a subgrant and a contract?

*Subgrants are program awards to subgrantees, and contracts are payments to contractors for goods or services. Like grant recipients, subgrantees measure performance against program objectives, comply with award requirements, use funds to carry out program activities, and make programmatic decisions. Collaborating partners often receive subawards to carry out grant activities for the applicant. Contractors, on the other hand, provide goods and services “that are ancillary” to program operations. Contractors are not subject to compliance requirements of the grant. There is more distance in this relationship, often characterized as procurement.*

*In the example where a subgrantee “purchases” ECE services from a contractor, the subgrantee is the accountable entity for program performance, facilitating the evaluation, and assuring compliance for all federal requirements.*

*Contractors must be selected through processes that adhere to applicable federal procurement rules. For contracts valued between \$3,000 and \$150,000 (“Procurement by small purchases procedures”) price or rate quotations must be obtained from an adequate number of qualified sources.*

15. Can a subgrantee budget for evaluation?

*United Way and its 3<sup>rd</sup> party evaluator are responsible for the design and implementation of the evaluation plan. Subgrantees should not budget for conducting an evaluation. However, subgrantees should determine budget needs for the staff and technology required to collect and share data with United Way.*

16. When will a subgrantee be expected to begin providing GF 2020 services?

*Grantees will need to take a sufficient amount of time to “ramp up” before beginning services. Staff will need to be in place, policies and procedures required for compliance will need to be developed, training will be required, etc. Subgrantees should plan to begin service delivery no earlier than July 1, 2017 and no later than September 1, 2017. Data collection will begin September 1, 2017.*

17. How many subgrantees will be selected?

*CNCS has recently given United Way permission to award up to 12 subgrants. The range of grant awards will remain \$100,000 - \$350,000 and the total pool of grant dollars will not increase. United Way will carefully assess applicants’ capacity to match, estimates on the number of families that will be served, and budget justifications for multiple subgrantees in a single application.*

18. Where can applicants find information on the Two Generation Approach and on other successful SIF projects?

*On the Great Places 2020 website ([www.greatfamilies2020.org](http://www.greatfamilies2020.org)), there is a document describing Aspen Institute’s two generation model under “Important Documents.” Information on successful SIF projects can be found at <http://www.nationalservice.gov/programs/social-innovation-fund>.*

19. Will subgrantees be responsible for linking ECE and FES within families?

*We are seeking strong integration across all five areas of the Two Gen approach in the program design. In particular, we will be looking for coaching/case management and the development of a family plan that shows family goals and the integration of service. For*

*outcomes, subgrantees will have to track and pull data from across the five areas of the Two Gen approach, but will not have to integrate or analyze this data because it will be handled by the third party evaluator.*

20. Will subgrantees be responsible for tracking educational outcomes of children after they “age out” of the program?

*No, the 3<sup>rd</sup> party evaluator will use State Test Numbers (STNs) to gather educational outcomes for these children.*

21. Can Head Start engage as an ECE partner?

Yes, Head Start can be a subgrantee and/or (procured) contractor, but their federal funding cannot count toward match.

22. Clarifying the application submission process.

*On the Great Families 2020 website, applicants will first complete the Applicant Face Page. Other required parts of the application (e.g., the narrative, budget, etc.) will be uploaded at the bottom of the Applicant Face Page. Once all required documents have been uploaded, the applicant will click the submit button. If all required documents have not been uploaded, the automated system will not permit the application to be submitted. Once an application has been successfully submitted, the applicant will receive a confirmatory email. This system is not yet in place, but will be live within the next week.*